

## CONTRACTOR STATEMENT OF EXPERIENCE AND FINANCIAL CONDITION FOR PREQUALIFICATION

To be filed with the Nevada Department of Transportation by contractors proposing to bid on Nevada Department of Transportation contracts in accordance with the provisions of **Nevada Revised Statutes 408.333 and 338.1379**. The application for prequalification must be submitted at least 45 days prior to a bid opening for which a Contractor wishes to prequalify as a prime bidder to give the Department sufficient time for internal processing.

Preparation of statement: Applicant must complete the statement in its entirety (pages 1-3) regardless of how financial information is provided.

Financial information can be submitted in one of three ways:

- (1) Attach a financial statement audited or reviewed by a Certified Public Accountant (CPA), current within the last 12 months; or
- (2) Attach a financial statement audited or reviewed by a CPA with a completion date older than 12 months with a current balance sheet; or
- (3) Attach financials audited or reviewed by a CPA, to include a current balance sheet, current income statement, and current cash flow statement.

Name of Company \_\_\_\_\_

Corporation, Incorporated in: \_\_\_\_\_  Limited Liability Corporation  Partnership  Sole Proprietor  
(State)

Primary Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Branch Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Mailing Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

Primary Contact \_\_\_\_\_ Email Address \_\_\_\_\_

Prequalification Contact \_\_\_\_\_ Email Address \_\_\_\_\_

Estimating Contact \_\_\_\_\_ Email Address \_\_\_\_\_

Authorized Signer Contact \_\_\_\_\_ Authentication Phone No. \_\_\_\_\_

IRS Number \_\_\_\_\_ DUNS/UEI No. \_\_\_\_\_

NV Contractor License No(s) \_\_\_\_\_ NV Business License No. \_\_\_\_\_

Years of experience in this field, under this company name: \_\_\_\_\_

Years of experience in related work: \_\_\_\_\_

Years of experience under another business name: \_\_\_\_\_

List a sample of projects your organization has completed in the last 5 years (total of >\$6M preferred but not required):

Year	Brief Description of Work	Location and for Whom Work was Performed	Contract Amount
<b>Total</b>			

# Financial Condition Statement

(**Must** be completed regardless of other financial documents provided)

Condition at close of business \_\_\_\_\_  
(Date)

## ASSETS

### Current Assets:

1. Cash and cash equivalents .....
2. Current Portion of notes, contracts and accounts receivable (from sources other than construction contracts) .....
3. Accounts receivable from completed and uncompleted contracts, **net** of claims not approved for payment and amounts retained .....
4. Costs and estimated earnings in excess of billings on uncompleted contracts .....
5. Accrued interest receivable .....
6. Inventories of materials in stock .....
7. Prepaid expenses .....
8. **TOTAL CURRENT ASSETS** .....

### Fixed Assets:

9. Real Estate .....
10. Construction plant and equipment, book value .....
11. Furniture and fixtures, book values .....
12. **TOTAL FIXED ASSETS** .....
13. **Other Assets** .....
14. **TOTAL ASSETS (must equal line 32 below)** .....

## LIABILITIES AND CAPITAL

### Current Liabilities (portion owed within next 12 months only):

15. Accounts payable and accrued expenses **excluding deferred income taxes** .....
16. Line(s) of credit payable .....
17. Other notes payable, current portion .....
18. Current portion of long-term loans and contracts .....
19. Billings in excess of costs and estimated earnings on uncompleted contracts .....
20. Dividends payable .....
21. Other current liabilities .....
22. **TOTAL CURRENT LIABILITIES** .....

### Long Term Liabilities:

23. Real estate loans payable, due after one year .....
24. Equipment loans and contracts payable, due after one year .....
25. Notes payable, portion due after one year .....
26. Other long term liabilities .....
27. **TOTAL LONG TERM LIABILITIES** .....

28. **Reserves** .....
29. **Capital stock paid up** .....
30. **Surplus (net worth)** .....
31. **TOTAL CAPITAL OR NET WORTH** .....
32. **TOTAL LIABILITIES AND CAPITAL (must equal line 14 above)** .....

## Organization Principal/Title VI Information

List the experience and Title VI designation for each principal in your company. For Title VI\* field please use the appropriate number designation from the following: (1) White; (2) Black or African American; (3) American Indian; (4) Chinese; (5) Filipino; (6) Asian Indian; (7) Vietnamese; (8) Korean; (9) Japanese; (10) Other Asian (specify); (11) Native Hawaiian; (12) Samoan; (13) Chamorro; (14) Other Pacific Islander; (15) Some Other Race (Specify). Note: If biracial, two or more races, please choose one of the races included in the list.

Principal's Name	Title	Type of Work	In What Capacity?	Years of Construction Experience	Gender*	Is Person of Hispanic, Latino, or Spanish Origin? (If <u>YES</u> , please indicate Mexican, Mexican American, Chicano, Puerto Rican, Cuban, Salvadoran, Dominican, Colombian Guatemalan, Spaniard, Ecuadorian, Other)	Title VI*

\*Gender and Title VI information is for federal Title VI reporting purposes only and will not be included in the information used to evaluate your application for prequalification or for bidding capacity.

## Banking and Surety Relationships

<b>Primary Bank</b>	<b>Bank Address</b>	<b>Contact Name</b>	<b>Email Address</b>	<b>Telephone</b>
<b>Surety Agency</b>	<b>Agent Address</b>	<b>Agent's Name</b>	<b>Email Address</b>	<b>Telephone</b>

Under penalty of perjury the signatory affirms the forgoing statement of experience and financial condition and all statements therein contained are true and correct; that the statement is for the purpose of becoming a qualified bidder, and that any depository, vendor, or other agency therein named is hereby authorized to supply the Nevada Department of Transportation with any information necessary to verify the statement; furthermore should the forgoing statement at any time cease to properly and truly represent their financial condition, they will refrain from further bidding on Nevada State work until they shall have submitted a revised and corrected statement.

(Authorized Signature)

(Name and Title)

Under penalty of perjury the signatory affirms the forgoing statement of experience and financial condition and all statements therein contained are true and correct; that the statement is for the purpose of becoming a qualified bidder, and that any depository, vendor, or other agency therein named is hereby authorized to supply the Nevada Department of Transportation with any information necessary to verify the statement; furthermore should the forgoing statement at any time cease to properly and truly represent their financial condition, they will refrain from further bidding on Nevada State work until they shall have submitted a revised and corrected statement.

\_\_\_\_\_  
(Name of Company)  
By: \_\_\_\_\_  
(Authorized Signature)  
\_\_\_\_\_  
(Name and Title)

**CONTRACTOR'S ACKNOWLEDGMENT [use (a) or (b)]  
(a) Contractor's Acknowledgment (if an Individual or Partnership)**

STATE OF \_\_\_\_\_ }  
COUNTY OF \_\_\_\_\_ } SS

On this \_\_\_\_\_ day of \_\_\_\_\_, A.D. \_\_\_\_\_, personally appeared before me,  
a \_\_\_\_\_, in and for \_\_\_\_\_ County, State of \_\_\_\_\_,  
(Notary Public, Judge or other officer)  
\_\_\_\_\_, known (or proved) to me  
(Name)

to be the person(s) described in and executed the foregoing instrument, who acknowledged to me that he (they) executed the same freely and voluntarily and for the uses and purposes therein mentioned.

(SEAL) \_\_\_\_\_  
(Notary Public, Judge or other officer)

**(b) Contractor's Acknowledgment (if a Corporation)**

STATE OF \_\_\_\_\_ }  
COUNTY OF \_\_\_\_\_ } SS

On this \_\_\_\_\_ day of \_\_\_\_\_, A.D. \_\_\_\_\_, personally appeared before me,  
a \_\_\_\_\_, in and for \_\_\_\_\_ County, State of \_\_\_\_\_,  
(Notary Public, Judge or other officer)  
\_\_\_\_\_, known (or proved) to me to be the \_\_\_\_\_  
(Name) (President, Vice President or Secretary)

of the corporation that executed the foregoing instrument, and, upon oath, did depose that he is the officer of said corporation as above designated; that he is acquainted with the seal of said corporation and that the seal affixed to said instrument is the corporate seal of said corporation; that the signatures to said instrument were made by officers of said corporation as indicated after said signatures; and that the said corporation executed the said instrument freely and voluntarily for the uses and purposes therein mentioned.

(SEAL) \_\_\_\_\_  
(Notary Public, Judge or other officer)